

**ARBITRATION DETAILS FOR QUARTER ENDED SEPTEMBER 30, 2026**

**(DEPOSITORY Vs BENEFICIARY OWNER)**

| Pending at the beginning of the quarter (Jul-Sept 2026) | Received during the quarter (Jul-Sept 2026) | Awards passed during the quarter (Jul-Sept 2026) |     |                 |     | Pending at the end of the quarter (Jul-Sept 2026) |
|---------------------------------------------------------|---------------------------------------------|--------------------------------------------------|-----|-----------------|-----|---------------------------------------------------|
|                                                         |                                             | In favour of depository                          |     | In favour of BO |     |                                                   |
|                                                         |                                             | No.                                              | Rs. | No.             | Rs. |                                                   |
| 6^                                                      | NIL                                         | -                                                | -   | -               | -   | 6^                                                |

*^Pursuant to the applications filed by the claimants under Section 11(6) of the Arbitration and Conciliation Act, 1996 before the Hon'ble Bombay High Court (seeking the appointment of an arbitrator) was disposed off, the Tribunal was constituted. Thereafter, pleadings have been completed and hearings are taking place before the Tribunal.*

**(DP Vs BENEFICIARY OWNER)**

| Pending at the beginning of the (Jul-Sept 2026) | Received during the quarter (Jul-Sept 2026) | Awards passed during the (Jul-Sept 2026) |     |                 |     | Pending at the end of the quarter (Jul-Sept 2026) |
|-------------------------------------------------|---------------------------------------------|------------------------------------------|-----|-----------------|-----|---------------------------------------------------|
|                                                 |                                             | In favour of DP                          |     | In favour of BO |     |                                                   |
|                                                 |                                             | No.                                      | Rs. | No.             | Rs. |                                                   |
| NIL                                             |                                             |                                          |     |                 |     |                                                   |

**(DEPOSITORY Vs DP)**

| Pending at the beginning of the quarter (Jul-Sept 2026) | Received during the quarter (Jul-Sept 2026) | Awards passed during the quarter (Jul-Sept 2026) |     |                         |     | Pending at the end of the quarter (Jul-Sept 2026) |
|---------------------------------------------------------|---------------------------------------------|--------------------------------------------------|-----|-------------------------|-----|---------------------------------------------------|
|                                                         |                                             | In favour of DP                                  |     | In favour of Depository |     |                                                   |
|                                                         |                                             | No.                                              | Rs. | No.                     | Rs. |                                                   |
|                                                         |                                             |                                                  |     |                         |     |                                                   |
| NIL                                                     |                                             |                                                  |     |                         |     |                                                   |

**Details of arbitration cases which are pending for more than Six months:**

| Type of the parties | Name of the Party | Date of appointment of Arbitrator* | Nature of Claim |
|---------------------|-------------------|------------------------------------|-----------------|
| Investor            | Ketan Lalit Shah  | 27 <sup>th</sup> February 2026     | Monetary relief |
| Investor            | Lalit Shah HUF    | 27 <sup>th</sup> February 2026     | Monetary relief |

| <b>Type of the parties</b> | <b>Name of the Party</b>                             | <b>Date of appointment of Arbitrator*</b> | <b>Nature of Claim</b> |
|----------------------------|------------------------------------------------------|-------------------------------------------|------------------------|
| <b>Investor</b>            | Neeta Ketan Shah                                     | 27 <sup>th</sup> February 2026            | Monetary relief        |
| <b>Investor</b>            | Prafulla Shah                                        | 27 <sup>th</sup> February 2026            | Monetary relief        |
| <b>Investor</b>            | Prafulla Lalit Shah on behalf of Lalit Popatlal Shah | 27 <sup>th</sup> February 2026            | Monetary relief        |

*\*The Tribunal directed that the date of reference for computing the four-month period for completion of the proceedings, as required under Bye-Law 22.18.1 of the CDSL Bye-Laws be reckoned from the date of completion of pleadings, in accordance with Section 23(4) of the Arbitration and Conciliation Act, 1996, rather than from the date of appointment of the arbitrator as per current practice. On that basis, the four-month period from completion of pleadings expired on 2nd August 2026. At the hearing held on 07th August 2026, the Tribunal directed the parties to seek an extension of this timeline from the Arbitration Committee in accordance with Bye-Law No. 22.18.1 of the CDSL Bye-Laws.*